

# M-theory and Type IIA Flux Vacua

based on 12-8. 0261 w/ J. McOrist

## Outline:

- I Introduction
- II New Sources of Brane Charge
- III Examples

# I Introduction

- Basic no-go thm forbidding flux compactifications in M-theory and string theory

Consider 11-dim SuGRA :

$$S_{11} = \frac{1}{2k^2} \int d^{11}x \sqrt{-g} (R - \frac{1}{2} |G|^2) - \frac{1}{2k^2} \int \frac{1}{6} C \wedge G \wedge G$$

Take a warped product  $M_4 \times_w M_7$

$$ds^2 = e^{2w(y)} (\hat{g}_{\mu\nu} dx^\mu dx^\nu + \hat{g}_{mn}(y) dy^m dy^n)$$

Lorentz  $\Rightarrow$   $\underbrace{G_{mnpq}}_{\text{internal}}, G_{\mu\nu\rho\sigma} = f \sqrt{-g^{(4)}} \epsilon_{\mu\nu\rho\sigma}$

No sources  $\Rightarrow dG = 0$  and  $\mathcal{F} = f_0 e^{-4w}$

E.o.m.  $R_{MN} = \frac{1}{12} (G_{MPQR} G_N{}^{PQR} - 2g_{MN} |G|^2)$

$$d * G = -\frac{1}{2} G \wedge G$$

Maximally symmetric space-time :

AdS<sub>4</sub> or Mink. requires  $\hat{R}_{\mu\nu} = \hat{g}_{\mu\nu} \Lambda$

w/  $\Lambda$  const.

$$\begin{aligned}
 R^{(4)} &= -\frac{1}{6} f^2 - \frac{2}{3} |G^{int}|^2 \\
 R^{(2)} &= \frac{5}{6} |G^{int}|^2 + \frac{7}{6} |F|^2
 \end{aligned}
 \left. \vphantom{\begin{aligned} R^{(4)} \\ R^{(2)} \end{aligned}} \right\} \begin{array}{l} \text{tracing over} \\ 4 \text{ or } 2 \\ \text{dim.} \end{array}$$

$$\begin{aligned}
 \Rightarrow R^{(4)} &\leq 0 \\
 &= e^{-2w} (R^{(4)} - 4 \hat{\nabla}^2 w - 36 |\hat{\nabla} w|^2) \\
 &= 4 e^{-2w} (\Lambda - \frac{1}{9} e^{-9w} \hat{\nabla}^2 e^{9w})
 \end{aligned}$$

$$\Lambda = \frac{\int_{M_2} e^{11w} R^{(4)}}{4 \int_{M_2} e^{9w}}$$

So  $\Lambda = 0 \Rightarrow R^{(4)} = 0 \Rightarrow$  all G-flux vanishes.

No Mink. or de Sitter.

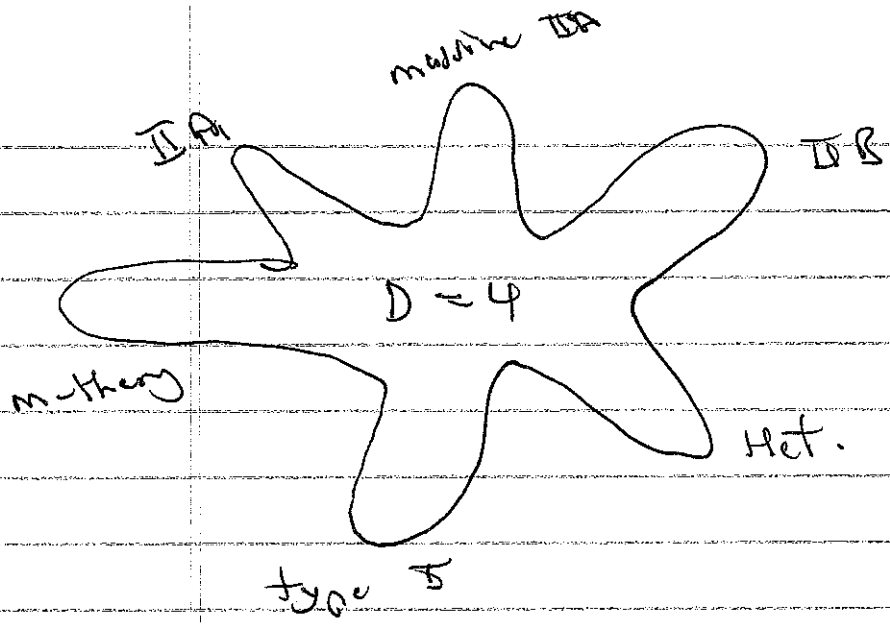
$$\begin{aligned}
 \hat{R}_{\mu\nu} &= \hat{g}_{\mu\nu} \Lambda = \hat{g}_{\mu\nu} (\hat{\nabla}^2 w + 9 |\hat{\nabla} w|^2 - \\
 &\quad \frac{7}{144} f_0^2 e^{-6w} - \frac{1}{144} e^{2w} |G^{int}|^2)
 \end{aligned}$$

Strongest constraint since the r.h.s must be point-wise indep. of  $y$ .

Frand - Rubin  $AdS_4$  solns correspond to  $w$  const,  $G_{int} = 0$ . There are a few generalizations.

Generically  $\Lambda$  is  $0$  (curvature  $R^{(2)}$ ). Flux solns w/  $\Lambda$  parametrically smaller than  $R^{(2)}$  encounter the Mink. no-go thm. None are known (to my knowledge).

Evading this no-go (Gibbons) requires higher derivative ingredients.



No mechanism is known in m-theory, IIA or massive IIA. I will comment on massive IIA later.

In Het / type I, there are  $R^2$  couplings

$$\int \sqrt{g} R + R^2 + \dots$$

$$dH = \frac{\alpha'}{4} (\text{Tr}(R \wedge R) - \text{Tr}(F \wedge F))$$

$\curvearrowright$  4 derivative

which modify the no-go permitting moduli (but not  $AdS_4$ ) solns.

The resulting spaces are torsional manifolds w/ a fund. form  $J$  correlated w/ the flux by

$$SUSY \quad H_3 = i(\delta - \bar{\delta}) J. \quad \text{Much progress here.}$$

(6)

In type IIB string theory, D-branes support gravitational  $w_2$  couplings:

$$\int w_2 = \frac{T_p}{k} \int_{p+1} C \wedge e^{2\pi\alpha' F+B} \sqrt{\hat{A}(R_T) / \hat{A}(R_N)}$$

$$\frac{\sqrt{\hat{A}(R_T)}}{\hat{A}(R_N)} = 1 + \frac{(4\pi^2 \alpha')^2}{384 \pi^2} (\text{tr } R_T^2 - \text{tr } R_N^2) + \dots$$

Argued from inflow. Similar couplings exist on D-branes.

In particular  $D7$  /  $D9$ 's support

$$\int C_4 \wedge P_1, \quad P_1 = \frac{1}{8\pi^2} \text{tr } R \wedge R$$

This gives a source

$$dF_5 = F_3 \wedge H_3 + \underbrace{\epsilon \wedge \chi_4}_{\text{brane source}} \wedge \delta_0^2$$

$\chi_4$  represents 4 derivative worldvolume interaction.

What about M-theory and IIA?

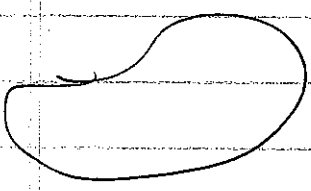
Note that all corrections that permit flux

include new higher derivative sources of  
brane charge. Let's search for such potential  
sources.

## II New Sources of Brane Charge.

D-branes and metric are sufficient to induce  
charge in IIB and violate the no-go thm.

How about IIA?



$M = CY_3$ . Could ob-planes be  
sufficient?

The answer appears to be no. Recall that

OB-brane  $\xrightarrow{\text{M-theory}}$  TN

$$ds^2 = V (dr^2 + r^2 d\alpha^2) + V^{-1} (dy + A)^2$$

$$V = 1 + \frac{L}{r}, \quad y \sim y + 2\pi \quad A \sim \omega \otimes dx$$

$$dr^2 = d\alpha^2 + \sin^2 \alpha d\phi^2$$

This is a perfectly regular metric.

For 0-planes, the story looks similar.

$ob^- \quad J_0(2N) \quad \rightarrow \quad \text{AdS metric}$

$ob^{-1} \quad J_0(2N+1) \quad \text{maximal DA}$

$ob^+ \quad J_0(N) \quad \rightarrow \quad \text{"frozen" } D_4 \text{ sing.}$

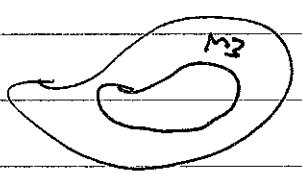
$ob^{+1} \quad J_0(N) \quad \text{maximal DA}$

$ob \quad \rightarrow \quad J_0(N)$

Each plane either lifts to a regular metric or has positive tension. These cannot evade the no-go thm.

In this respect DA and DB are very different.

Another way to see this is to note that



$M_2$  wrapped by  $ob / D_6$

odd-dim.

$$\sqrt{(N \hat{A}^2)} \quad \text{even-dim.}$$

These couplings induce no charge.



Let's learn something from T-duality. Imagine

D7 0 1 2 3 4 5 6 7

D3 0 1 2 3 4 5 6 7  
T-R-R

ie:  $\int_{TN} F_1 = \frac{1}{8\pi^2} \int_{TN} T-R-R = 2$

Induced D7-brane charge.

Take  $y$  as a coord. for  $x^7$  corresponding to  
a  $U(1)$  winding direction. Dualize.

D6 0 1 2 3 4 5 6

D4 0 1 2 3 7

H.w?  $\int_{D7} C_4 \wedge X_4 \rightarrow \int_{D6} (C_5)_{i_1 i_2 i_3 i_4 y} dx^{i_1} \dots dx^{i_4}$   
 $\wedge (X_3)^y$

This must be the case and this must induce D4-brane charge.

As I'll explain in a moment  $(X_3)^y$  vanishes if there isn't an H-flux of the form  $H_{y i_1 i_2}$ . This is a flux induced charge!

Without any further work, we conclude that the way to evade the no-go's is to consider a background metric and H-flux, and we have these bizarre "odd-dimensional" 4 derivative couplings.

Let me sketch the details: isometry direction is  $y$ .  $ds^2 = e^m e^m + e^y e^y$   
 $e^y = f(x) / dy + A$

The connection  $A$  encodes the topology of the  $S^1$ -bundle.

Then  $\hat{R} = d\hat{\omega} + \hat{\omega} \wedge \hat{\omega}$   
 $\uparrow$  2-form for full metric

$\hat{R}_{mn} = R_{mn} +$  forms depending on  $e^y$   
 $\uparrow$  base

This allows us to integrate out the isometry direction.

Under Buscher:  $A_i dx^i \rightarrow B_{ij} dx^i$

topology of  $S^1$ -bundle  $\rightarrow$  H-flux

ie: TN  $\rightarrow$  unmeasured NS5-brane

$$ds^2 = \sqrt{dr^2 + r^2 d\Omega^2 + dy^2} \quad \beta + y = \cos \theta$$

$$g_{\mu\nu} = \sqrt{V} \quad V = 1 + \frac{1}{r^2} \quad ds^2 = d\theta^2 + r^2 d\Omega^2$$

Returning to the general case:

$$\int_Y \text{tr } R \wedge R = \int_Y R_{mn} \wedge R_{nm} + \hat{R}_{\mu\nu} \wedge \hat{R}_{\nu\mu}$$

$$= X_3^y$$

$$X_3^y = \underbrace{-R_{mn}}_{2\text{-form}} \wedge \underbrace{H_{mny}}_{1\text{-form}} + \dots \circ(H) \wedge \circ(H^2)$$

$$H \rightarrow 0 \quad X_3^y \rightarrow 0$$

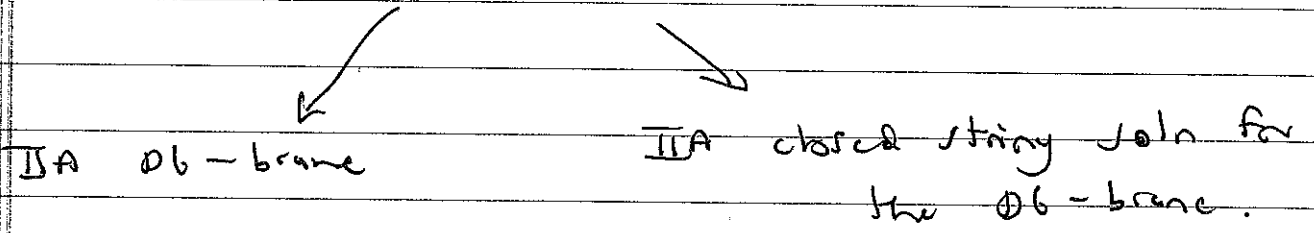
on D6-branes  $\int (G_5)_y \wedge X_3^y$  or more generally  $\int (G_5)_{n_i} \wedge X_3^{n_i}$

$\uparrow$  normal.

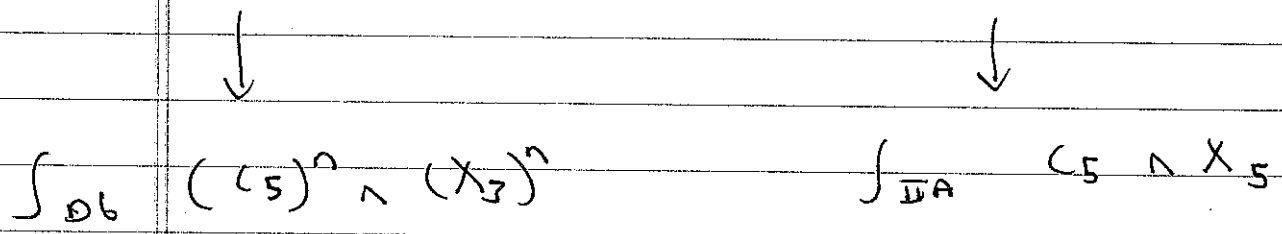
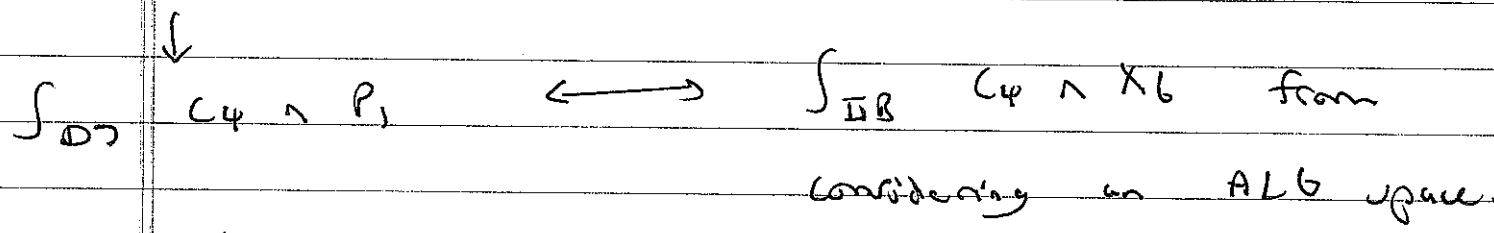
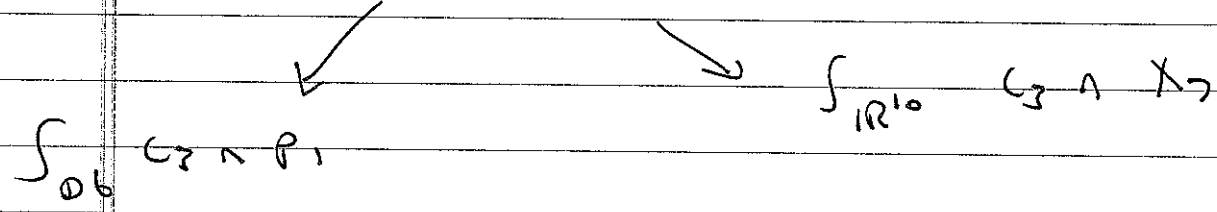
We need a clear geometric understanding of these couplings! Perhaps from anomaly inflow.

One can lift this coupling to M-theory. The argument goes as follows:

M-theory on TN

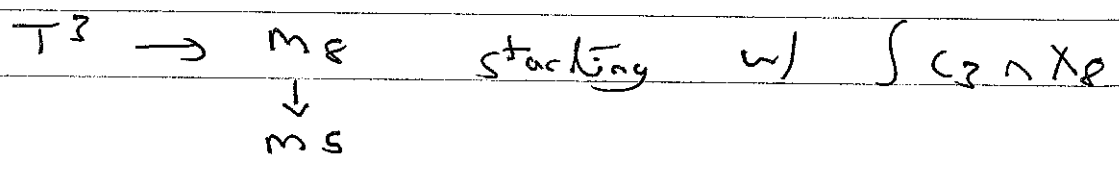


$\int C_3 \wedge X_8$  M2-brane charge (Becker & Becker)



Lifting to M-theory  $\int C_6 \wedge X_5$  induces M5 charge.  $X_5$  depends on G-flux!

This is duality showing M-theory on  $M_8$  with



We don't need the detailed form of this coupling, just the essence of the argument.

### III Examples

Let's construct an M-theory example.

Take IIB  $T^2 \times K3$   
 $\mathbb{Z}_2 \subset (-1)^{F_L} \mathbb{Z}$

$\Rightarrow$  choices of  $F_3$   
 and  $H_3$  flux that  
 preserve either  $N=1$   
 or  $N=2$  SUSY.

Let me recall that M on  $T^5 / \mathbb{Z}_2 \cong$  IIB on  $K3$   
 (Dasgupta & Mukhi and Witten)

Take an orbifold  $K3$ :

$$ds_{\text{orbifold}}^2 = du dv + d\bar{u} d\bar{v} \quad V = V_1 + iV_2$$

$$ds_{T^2}^2 = dz d\bar{z}$$

$$\alpha \in H^{(1,1)}(K3, \mathbb{Z}) \quad \beta \in H^{(2,0)}(K3, \mathbb{Z})$$

$$H_3 = (\alpha + \hat{\beta}) \wedge dz + \text{c.c.}$$

$$F_3 = i(\bar{\beta} - \alpha) \wedge d\bar{z} + \text{c.c.}$$

$$\text{So } S_2 = z(\alpha + \bar{\beta}) + \text{c.c.}$$

$$B_2 = \tilde{B}_2 + \tilde{A} dv_1$$

$\uparrow$  no  $dv_1$

$$C_2 = \tilde{C}_2 + \tilde{C}_1 dv_1$$

T-dualize  $e$  along  $v_1$  and lift to M-theory.

$$ds^2_M = e^{-w/2} \eta_{\mu\nu} dx^\mu dx^\nu + e^w (dx^3 d\bar{x} + du d\bar{u} + (dv_2)^2) + e^{-w/2} (dv_1 + \tilde{A})^2 + e^{-w/2} (dy + \tilde{C}_1)^2$$

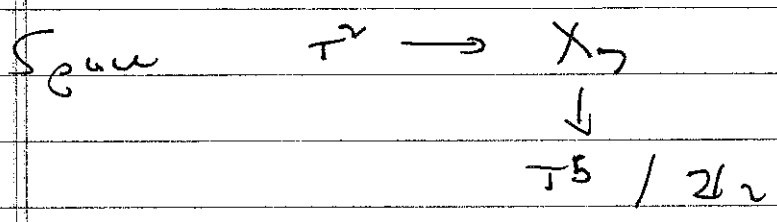
$\uparrow$  M-theory circle

Flux

$$(\hat{G}_3)_{ij3} = (\tilde{B}_2)_{ij}$$

$$(\hat{G}_3)_{ijv_1} = (\tilde{C}_1)_{ij}$$

$$(\hat{G}_3)_{ijk} = 3 (\tilde{C}_2)_{[ij} \tilde{A}_{k]}$$



The orientifold action sends:

$$(u, v_1, v_2, y, z) \rightarrow (-u, -v_1, -v_2, -y, -z)$$

This is a Mink. flux compact. of M-theory.