



Quantization of the Black Hole Horizons

A Discussion

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A Quantization Condition?

- Many black holes satisfy the quantization condition:

$$\frac{1}{(8\pi G_4)^2} A_+ A_- = \text{integer} .$$

Here A_+ is the usual area of the event horizon and A_- is the area of the *inner* horizon.

- ***This is very surprising!***
- For example, the inner horizon is known to be an unstable Cauchy horizon; so how can its area be significant?
- The purpose of this talk: review and elaborate on aspects of the story.

Example: 4D Kerr

- Primary evidence for the quantization condition: inspection of explicit examples.
- This talk: focus on asymptotically flat spacetime in $D = 4$.
- The simplest example: the Kerr black hole.
- The “entropies” of Kerr black holes computed from outer and inner areas:

$$S_{\pm} = \frac{A_{\pm}}{4G_4} = 2\pi \left(G_4 M^2 \pm \sqrt{G_4^2 M^4 - J^2} \right) .$$

- The product

$$\frac{1}{4\pi^2} S_+ S_- = J^2 = \text{integer}$$

Adding Charges

- In the context of $N = 4$ or $N = 8$ SUGRA the black holes can be generalized to carry arbitrary charges.
- For the outer and inner areas then give the product:

$$\frac{1}{(2\pi)^2} S_+ S_- = J^2 + J_4 ,$$

where the quartic invariant (for the $N = 4$ theory, for definiteness):

$$J_4 = \vec{Q}^2 \vec{P}^2 - (\vec{Q} \cdot \vec{P})^2 .$$

- The right hand side of the product rule is an integer because J_4 is integral for correctly quantized charges.
- In fact: the right hand side is a **positive** integer since $J^2 > -J_4$ is the condition to avoid CTCs.

The Non-BPS Branch

- There is another branch of solutions with areas such that

$$\frac{1}{(2\pi)^2} S_+ S_- = -J_4 - J^2 .$$

- Again, the right hand side is not only an integer, it is a positive integer since $J_4 < -J^2$ is the condition to avoid CTCs.
- The two black hole branches can be continuously deformed to each other, passing through the locus $J^2 = -J_4$.
- These transition solutions correspond to singular limits where $A_- = 0$ and the inner horizon has become singular.
- Example: the Schwarchild solution.

Extremal Limits

- In the extremal limit the two horizons approach each other:
 $S_- \rightarrow S_+$.

- In this case

$$S_+ = 2\pi \sqrt{|J_4 + J^2|}.$$

- So: the integer in the quantization is the one that appears "under the square root" in the regime where extreme entropy is accounted for by the Cardy formula.
- The surprise is that this integer remains part of the story arbitrarily far from extremality.

Always an Integer?

- In the special case of a Kerr-Newman black hole:

$$\frac{1}{(2\pi)^2} S_+ S_- = \frac{1}{4} Q^4 + J^2 .$$

- This is **not** generally an integer:

$$Q^2 = \alpha n_e^2 \quad \text{where} \quad \alpha^{-1} \simeq 137 .$$

- Construction of Kerr-Newman as a solution in $N \geq 2$ SUGRA involves an embedding such as

$$Q_{\text{KN}} = \frac{1}{2} Q_{N=2} = \frac{1}{2} P_{N=2} .$$

- The Dirac quantization condition on the $N = 2$ theory effectively takes

$$Q_{N=2} P_{N=2} = 2\pi \times \text{integer} \Rightarrow \frac{1}{2} \alpha = \frac{e^2}{8\pi} = \text{integer} .$$

Generalized Attractor Mechanism

- The Right Hand Side of the quantization condition

$$\frac{1}{(2\pi)^2} S_+ S_- = \text{integer} ,$$

is independent of the ***black hole mass***.

- It is also independent of ***scalar VEVs***, i.e. on the ***position in moduli space***.
- In particular, the relation can be continued from weak to strong coupling.
- This aspect can be thought of as a ***generalized attractor mechanism***.

A First Law for the Inner Horizon

- "Thermodynamics" of the inner horizon must be considered in Lorentzian signature.
- For this Wald's formalism works well (see later).
- The conservation of the Noether charge for the Killing generator of the inner horizon gives a "first law"

$$T_- dS_- = dM - \Omega_- dJ - \Phi_- dQ - \Psi_- dP .$$

- In order to circumvent stability issues the differentials must be taken "in the space of solutions"; so there are no physical perturbations involved.
- The temperature $T_- < 0$ in the convention here (normal outward).

Consistency Relation

- Differentiation of the quantization rule with respect to mass gives:

$$T_+ S_+ = -T_- S_- .$$

This is a strange relation that is highly nonobvious in concrete examples.

- Recall $T_- < 0$.
- Derivation of this consistency relation is tantamount to derivation of the quantization rule.

Interpretation of the Consistency Relation

- Suppose the general entropy can be divided into "chiral halves", as in 2D CFT:

$$S_+ = S_L + S_R \equiv \frac{1}{2}(S_+ + S_-) + \frac{1}{2}(S_+ - S_-).$$

- The $T_+ S_+ = -T_- S_-$ relation gives

$$\frac{S_R}{T_R} = \frac{S_L}{T_L}.$$

- Interpretation: the central charge is the same in R and L sectors — so there is no diffeomorphism anomaly.

Another Consistency Relation?

- Concrete examples are also consistent with the general relation:

$$\frac{\Omega_-}{T_-} = -\frac{\Omega_+}{T_+} .$$

This relation is equally bizarre.

- In the context of a CFT with two halves this relation amounts to

$$\left(\frac{\partial S_L}{\partial J} \right)_M = 0 .$$

- Interpretation: only R-movers in the 2D CFT have the ability to carry angular momentum.

Interpretation: Quantization Cond.

Model for the CFT (motivated by perturbative strings):

- Each chiral half has a quantized oscillator level $N_{L,R}$.
- Each has a zero mode contribution that depends on mass and moduli.
- Translational invariance imposes level matching:

$$N_L - N_R = J^2 + J_4 .$$

Extremal limits:

- BPS states: $N_R = 0$ and $J = 0$ **preserves SUSY** .
- Kerr/CFT states: $N_R = 0$ and $J \neq 0$ **breaks SUSY spontaneously** .
- Non-BPS states: $N_L = 0$.

Multistring Theory?

- DVV proposed a **chiral** multistring theory with level matching condition:

$$\sum_{k,l} k^{(l)} N_k^{(l)} = J_4 .$$

Here k is the momentum quantum number and l is **the number of strings**.

- A version of the theory is realized by the standard precision counting formulae for BPS black holes (the Igusa cusp form).
- The structure needed for non-extremal black holes would have **both right and left movers**.
- The general level matching condition can be approximated for large charges as

$$\sum k^{(l)} N_{L,k}^{(l)} - \sum k^{(l)} N_{R,k}^{(l)} = J^2 + J_4 .$$

A GR Challenge

- The central observation is simply:

$$A_+A_- = \text{independent of mass} \Leftrightarrow T_+S_+ + T_-S_- = 0 .$$

- It should be possible to prove this in classical GR!
- The following is an attempt in this direction (which unfortunately is not complete).

Noether-Wald Charge

- The variation of the Lagrangian n -form:

$$\delta\mathbf{L} = \mathbf{E}\delta\phi + d\Theta .$$

- ϕ denotes all fields, both metric (g) and matter fields (ψ).
- $\mathbf{E} = 0$ is the equations of motion.
- The current $(n - 1)$ -form corresponding to a diffeomorphism ξ is

$$\mathbf{J} = \Theta(\phi, \mathcal{L}_\xi\phi) - \xi \cdot \mathbf{L} .$$

Its divergence vanishes upon imposing the equations of motion:

$$d\mathbf{J} = -\mathbf{E}\mathcal{L}_\xi\phi ,$$

Locally the current is thus the derivative of a Noether charge $(n - 2)$ -form \mathbf{Q}

$$\mathbf{J} = d\mathbf{Q} ,$$

Killing Horizons

- The outer and inner horizons are Killing horizons of the Killing vectors

$$\chi_{\pm} = \partial_t + \Omega_{\pm} \partial_{\phi} .$$

- The covariant derivatives of these Killing simplify at their respective horizons:

$$\nabla^a \chi_{\pm}^b \Big|_{\pm \text{hor}} = \pm \kappa_{\pm} \epsilon^{ab} ,$$

where the bimetric satisfies $g^{ab} = \epsilon^{ac} g_{cd} \epsilon^{cb}$.

- The sign of the bimetric ϵ^{ab} is such that it defines an outgoing normal at the outer horizon.

Killing Horizons

- For the Killing vectors, the **total** charges (after integration over the respective horizon at the bifurcation point):

$$Q[\chi_{\pm}] = \int_{\text{hor}} \mathbf{X}^{ab} \nabla_a \chi_{\pm b} = \pm \kappa_{\pm} A_{\pm} = \pm 8\pi G T_{\pm} S_{\pm}$$

- **Charge conservation** then gives the desired identity:

$$T_+ S_+ + T_- S_- = 0$$

- Well, almost.
- The “cross-terms” (the charges for χ_{\pm} evaluated at the \mp horizon cancel in explicit computations but it is not clear that there is a general argument.
- Thus the argument is valid only for **non-rotating** black holes.

Summary

A discussion of an apparent quantization condition:

$$\frac{1}{(8\pi G_4)^2} A_+ A_- = \text{integer}$$

Comments:

- The Right Hand Side does not depend on ***black hole mass***.
- So it appears that there is some kind of index that can be ***continued from extremality to non-extremality***.
- The Right Hand Side also does not depend on the ***moduli*** (VEVs of scalars at infinity)
- So it appears that the index can be ***continued from weak to strong coupling*** (before or after going to the extreme limit).